

FORM PTO-1449

THIRD SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.

1744.0450003

APPLICATION NO.

09/525,615

INVENTORS

SORRELLS *et al.*

FILING DATE

March 14, 2000

ART UNIT

2631

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
PP	AH55	6,608,647 B1	08/2003	King			
PP	AI55	6,031,217	02/2000	Aswell <i>et al.</i>			

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JUL 23 2004

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AJ						Yes No
	AK						Yes No
PP	AL23	DE 196 48 915 A1	06/1998	DE			Yes (Doc. AO59)
	AM						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

PP	AN	59	Simoni, A. <i>et al.</i> , "A Single-Chip Optical Sensor with Analog Memory for Motion Detection," <i>IEEE Journal of Solid-State Circuits</i> , IEEE, Vol. 30, No. 7, pp. 800-806 (July 1995).
PP	AO	59	English Translation of German Patent Publication No. DE 196 48 915 A1, 10 pages.
	AP		
	AQ		
	AR		

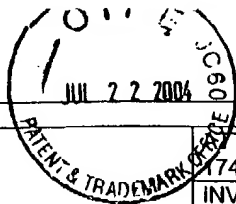
EXAMINER

Phung phn

DATE CONSIDERED

08/17/04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
JP	AA56	5,955,992	09/1999	Shattil			
	AB56	5,999,561	12/1999	Naden <i>et al.</i>			
	AC56	6,686,879 B2	02/2004	Shattil			
✓	AD56	5,345,239	09/1994	Madni <i>et al.</i>			
	AE						
	AF						
	AG						
	AH						
	AI						

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AJ						Yes No
	AK						Yes No
	AL						Yes No
	AM						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AN		
	AO		
	AP		
	AQ		
	AR		

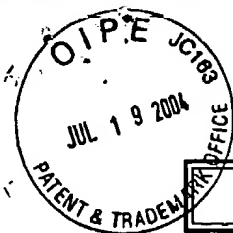
EXAMINER

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08/17/04

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of
Invention

Method, System and Apparatus for Balanced Frequency Up-
Conversion of a Baseband Signal and 4-Phase Receiver and
Transceiver

Application Number: 09/525615

Confirmation Number: 7843

First Named Applicant: David SORRELLS

Attorney Docket Number: 1744.0450003

Art Unit: 2631

Examiner: Phuong M. Phu

Search string: (5682099 or 6094084 or 6067329 or 6516185
or 6687493 or 6694128 or 6704549 or 6704558
or 5490176 or 5970053 or 6078630 or 6600911
or 5179731 or 5589793 or 4510467 or 4772853
or 4972436 or 5012245 or 5422909 or 5440311
or 5926513 or 5995030 or 6047026 or 6049573
or 6076015 or 6144331 or 6018553 or 6317589
or 5058107 or 5757858 or 6531979 or 6018262
or 4761798 or 5982315 or 6459721 or 6151354
or 6169733 or 6363262 or 6697603 or 5282222
or 5949827 or 6014176 or 5678226 or 5760632
or 6160280 or 5481570 or 5745846).pn.



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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
<input checked="" type="checkbox"/>	1	5682099	1997-10-28	Thompson et al.			
<input type="checkbox"/>	2	6094084	2000-07-25	Abou-Allam et al.			
<input type="checkbox"/>	3	6067329	2000-05-23	Kato et al.			
<input type="checkbox"/>	4	6516185	2003-02-04	MacNally	B1		
<input type="checkbox"/>	5	6687493	2004-02-03	Sorrells et al.	B1		
<input type="checkbox"/>	6	6694128	2004-02-17	Sorrells et al.	B1		
<input type="checkbox"/>	7	6704549	2004-03-09	Sorrells et al.	B1		
<input checked="" type="checkbox"/>	8	6704558	2004-03-09	Sorrells et al.	B1		

PP	9	5490176	1996-02-06	Peltier	
	10	5970053	1999-10-19	Schick et al.	
	11	6078630	2000-06-20	Prasanna	
	12	6600911	2003-07-29	Morishige et al.	B1
	13	5179731	1993-01-12	Trankle et al.	
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	36	6151354	2000-11-21	Abbey	
	37	6169733	2001-01-02	Lee	
	38	6363262	2002-03-26	McNicol	B1
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46	5481570	1996-01-02	Winters
47	5745846	1998-04-28	Myer et al.

Remarks

Note: Remarks are not for responding to an office action.

Cite nos. 1 and 2 were cited in an Office Action in related U.S. Patent Application No. 10/317,181, filed December 12, 2002, entitled "Differential Frequency Down-Conversion Using Techniques of Universal Frequency Translation Technology," directed to related subject matter. Cite nos. 3 and 4 were cited in an Office Action in related U.S. Patent Application No. 10/317,165, filed December 12, 2002, entitled "Method and Apparatus for Reducing DC Offsets in Communication Systems Using Universal Frequency Translation Technology," directed to related subject matter. Cite nos. 5-8 are co-owned patents which are directed to related subject matter. Cite nos. 5-8 and 33 were cited in a Notice of Allowance in related U.S. Patent Application No. 09/838,387, filed April 20, 2001, entitled "Method and System for Down-Converting and Up-Converting an Electromagnetic Signal, and Transforms for Same," directed to related subject matter. Also cited in said Notice of Allowance were U.S. Patent Nos. 5,937,013, 6,061,551, and 6,647,250, which have already been cited in the present application. Cite nos. 9-12 were cited in an Office Action in related U.S. Patent Application No. 09/567,978, filed May 10, 2000, entitled "Carrier and Clock Recovery Using Universal Frequency Translation," directed to related subject matter. Also cited in said Office Action was U.S. Patent No. 5,937,013, which has already been cited in the present application. Cite nos. 13 and 14 were cited in a Notice of Allowance in related U.S. Patent Application No. 10/330,219, filed December 30, 2002, entitled "Methods and Systems for Down-Converting Electromagnetic Signals, and Applications Thereof," directed to related subject matter. Cite nos. 15-26 were cited in an Office Action in related U.S. Patent Application No. 09/566,188, filed May 5, 2000, entitled "Integrated Frequency Translation and Selectivity with Gain Control Functionality, and Applications Thereof," directed to related subject matter. Cite nos. 27 and 28 were cited in an Office Action in related U.S. Patent Application No. 09/632,856, filed August 4, 2000, entitled "Wireless Local Area Network (WLAN) Using Universal Frequency Translation Technology Including Multi-Phase Embodiments and Circuit Implementation," directed to related subject matter. Cite nos. 29-31 were cited in an Office Action in related U.S. Patent Application No. 09/569,044, filed May 10, 2000, entitled "Universal Platform Module and Methods and Apparatuses Relating Thereto Enabled by Universal Frequency Translation Technology," directed to related subject matter. Also cited in said Office Action were U.S. Patent Nos. 2,057,613; 2,241,078; 2,283,575; 2,358,152; 2,410,350; 2,451,430; 2,472,798; 4,653,117; and 5,241,561,

which have already been cited in the present application. Cite no. 32 was cited in an Office Action in related U.S. Patent Application No. 10/289,377, filed November 7, 2002, entitled "Method and Apparatus for Reducing DC Offsets in a Communication System," directed to related subject matter. Also cited in said Office Action were U.S. Patent Nos. 5,471,665; 5,793,817; and 5,898,912, which have already been cited in the present application. Cite nos. 34 and 35 were cited in an Office Action in related U.S. Patent Application No. 09/525,185, filed March 14, 2000, entitled "Spread Spectrum Applications of Universal Frequency Translation Technology," directed to related subject matter. Also cited in said Office Action were U.S. Patent Nos. 5,339,459; 5,369,789; and 5,937,013, which have already been cited in the present application. Cite nos. 36-39 were cited in an Office Action in related U.S. Patent Application No. 09/569,045, filed May 10, 2000, entitled "Methods and Apparatuses Relating to a Universal Platform Module and Enabled by Universal Frequency Translation Technology," directed to related subject matter. Also cited in said Office Action were U.S. Patent Nos. 5,339,459 and 5,557,641, which have already been cited in the present application. Documents 40-42 were cited in an Office Action in related U.S. Patent Application No. 09/590,955, filed June 9, 2000, entitled "Phase-Shifting Applications of Universal Frequency Translation," directed to related subject matter. Also cited in said Office Action was U.S. Patent No. 5,339,459, which has already been cited in the present application. Documents 43-45 were cited in an Office Action in related U.S. Patent Application No. 09/550,642, filed April 14, 2000, entitled "Method and System for Down converting an Electromagnetic Signal, and Transforms for Same," directed to related subject matter. Documents 46 and 47 were cited in an Office Action in related U.S. Patent Application No. 10/317,165, filed December 12, 2002, entitled "Method and Apparatus for Reducing DC Offsets in Communication Systems Using Universal Frequency Translation Technology," directed to related subject matter.

Signature

Examiner Name	Date
phung phu	08/17/04